

Title:
Exception reports

Reference Number: RDF1164

Date of Response: 06/02/2023

Further to your Freedom of Information Act request, please find the Trust's response(s) below:

1) Does your Trust use "Exception Reports" that are used by doctors when day-to-day work varies significantly and/or regularly from the agreed work schedule?. Joint Trust response: Yes.

2) If so, how many exception reports were logged as raising an immediate safety concern in the a) 2020/21 and b) 2021/22 financial years?

Quarter 1- 4 in years 2020-2021 & 2021 - 2022.	2020-2021				2021 -2022			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Eastern service response	0	0	1 *	0	0	1	3	7
Northern service response	0	0	1	1	3	4	3	1

3) With respect only to the financial year 2021/22, please state for each occurrence (a) when the incident took place, (b) which Trust site it related to and (c) provide a detailed, verbatim account of how the doctor described the concern.

Please see below a summary of reports for the Eastern and Northern services.

Please note: -

The Trust has applied Section 36(2)(ii) of the Freedom of Information exemption to the verbatim request in question 3. This is on the grounds that if doctors were aware the detail of their reports could be made available publicly, this would inhibit free and frank deliberation and reporting.

The Trust has applied Section 40 (ii) of the Freedom of Information Act to the request in question 3 for verbatim. Under section 40 (ii) of the Freedom of Information Act 2000, the potentially identifiable personal data is required to be withheld because of the trusts obligation to protect personal data under the Data Protection Act 2018, and the General Data Protection Regulation 2018.

Please see Eastern service response below:

When the Incident took place	Type of concern
November 2020	Staffing issues
July 2021	Staffing issues
November 2021	Staffing issues
December 2021	No Comment
January 2021	Staffing issues
January 2022	Staffing issues
February 2022	Staffing issues
March 2022	Staffing issues
March 2022	Staffing issues
April 2022	Staffing issues
April 2022	Long hours worked

Please see Northern service response below:

When the Incident took place	Type of concern
July 2021	Staffing issues
October 2021	Staffing issues
October 2021	Staffing Issues
February 2022	Staffing issues
March 2022	Staffing issues
March 2022	Service Support
April 2022	Staffing Issues
April 2022	Staffing issues
May 2022	Staffing issues
May 2022	Staffing Issues
July 2022	Service Support
July 2022	Service Support
July 2022	Staffing Issues
October 2022	Service Support